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Appl. No.: 10/618,427

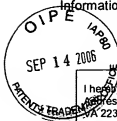
Information Disclosure Statement

PATENT

Customer No. 22,852

Attorney Docket No. 07451.0002-04000

Intertrust Ref. No. IT-8.4(US)



## CERTIFICATE OF EXPRESS MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service's "Express Mail Post Office to Addressee" service under 37 CFR § 1.10, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on September 14, 2006. Express Mail Label No.: EV#46096494 US

Signed: \_\_\_\_\_

Cheryl L. Franke

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: \_\_\_\_\_ )

David M. Van Wie, et al. \_\_\_\_\_ )

Group Art Unit: 2131

Application No.: 10/618,427 \_\_\_\_\_ )

Examiner: Not Yet Assigned

Filed: July 11, 2003 \_\_\_\_\_ )

For: STEGANOGRAPHIC \_\_\_\_\_ )  
 TECHNIQUES FOR SECURELY \_\_\_\_\_ )  
 DELIVERING ELECTRONIC \_\_\_\_\_ )  
 DIGITAL RIGHTS MANAGEMENT \_\_\_\_\_ )  
 CONTROL INFORMATION OVER \_\_\_\_\_ )  
 INSECURE COMMUNICATION \_\_\_\_\_ )  
 CHANNELS \_\_\_\_\_ )

Confirmation No.: 5616

## MAIL STOP AMENDMENT

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)**

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicants bring to the attention of the Examiner the documents on the attached listing. To the best of our knowledge, this Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits for the above-referenced application. Copies of the listed foreign and non-patent literature documents are provided on the CD-ROM accompanying this IDS. Copies of the U.S. patent publications are not enclosed.

Applicants respectfully request that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claim in the application and Applicants determine that the cited documents do not constitute "prior art" under United States law, Applicants reserve the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

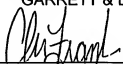
Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

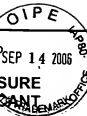
If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

Dated: September 14, 2006

By:   
Cheryl L. Franke  
Reg. No. 44,113



# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known

Sheet	1	of	5	Application Number	10/618,427
				Filing Date	July 10, 2003
				First Named Inventor	David M. VAN WIE et al.
				Art Unit	2131
				Examiner Name	Not Yet Assigned
				Attorney Docket Number	07451.0002-04000

## U.S. PATENTS AND PUBLISHED U.S. PATENT APPLICATIONS

Examiner Initials	Cite No.	Document Number Number-Kind Code (if known)	Issue or Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-2001-0042043-A1	11/15/2001	Wyman	
		US-2002-0023214-A1	2/21/2002	Shear et al.	
		US-2002-0048369-A1	4/25/2002	Ginter et al.	
		US-2002-0087859-A1	7/4/2002	Weeks et al.	
		US-2002-0112171-A1	8/15/2002	Ginter et al.	
		US-2002-0152173-A1	10/17/2002	Rudd	
		US-2003-0023856-A1	1/30/2003	Horne et al.	
		US-2003-0041239-A1	2/27/2003	Shear et al.	
		US-2003-0046244-A1	3/6/2003	Shear et al.	
		US-2003-0069748-A1	4/10/2003	Shear et al.	
		US-2003-0069749-A1	4/10/2003	Shear et al.	
		US-2003-0084003-A1	5/1/2003	Pinkas et al.	
		US-2003-0105721-A1	6/5/2003	Ginter et al.	
		US-2003-0163431-A1	6/5/2003	Ginter et al.	
		US-2004-0054630-A1	3/18/2004	Ginter et al.	
		US-2004-0059951-A1	3/25/2004	Pinkas et al.	
		US-2004-0073813-A1	4/15/2004	Pinkas et al.	
		US-2004-0103305-A1	5/27/2004	Ginter et al.	
		US-2004-0107356-A1	6/3/2004	Shamoon et al.	
		US-2004-0123129-A1	6/24/2004	Ginter et al.	
		US-2004-0133793-A1	7/8/2004	Ginter et al.	
		US-2005-0027871-A1	2/3/2005	Bradley et al.	
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		US-2005-0060560-A1	3/17/2004	Sibert	
		US-2005-0060584-A1	3/17/2004	Ginter et al.	
		US-2005-0108555-A1	5/19/2005	Sibert	
		US-4,141,005	2/20/1979	Ronner et al.	
		US-4,649,515	3/10/1987	Thompson et al.	
		US-4,685,055	8/4/1987	Thomas	

Examiner Signature		Date Considered	
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /CJ/

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Application Number	10/618,427
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Art Unit	2131
Examiner Name	Not Yet Assigned
Attorney Docket Number	07451.0002-04000

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		US-4,759,060	7/19/1988	Hayashi et al.	
		US-4,881,197	11/14/1989	Fischer	
		US-4,907,269	3/6/1990	Guillon et al.	
		US-4,967,403	10/30/1990	Ogawa	
		US-5,050,212	9/17/1991	Dyson	
		US-5,051,932	9/24/1991	Inoue et al.	
		US-5,070,400	12/3/1991	Lieberman	
		US-5,214,700	5/25/1993	Pinkas et al.	
		US-5,237,614	8/17/1993	Weiss	
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		US-5,408,501	4/18/1995	Cornaby	
		US-5,422,645	6/6/1995	Nettleton et al.	
		US-5,428,685	6/27/1995	Kadooka et al.	
		US-5,505,461	4/9/1996	Bell et al.	
		US-5,521,815	5/28/1996	Rose Jr. et al.	
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		US-5,734,719	3/31/1998	Tseudosetal	
		US-5,759,101	6/2/1998	Von Kohorn	
		US-5,778,385	7/7/1998	Pratt	
		US-5,832,119	11/3/1998	Rhoads	
		US-5,878,421	3/2/1999	Ferrel et al.	
		US-5,991,876	11/23/1999	Johnson et al.	
		US-5,995,756	12/7/1999	Schmodge et al.	
		US-6,026,193	2/15/2000	Rhoads	

Examiner  
SignatureDate  
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(Use as many sheets as necessary)

**Complete if Known**

Sheet	3	of	5	Application Number	10/818,427
				Filing Date	July 10, 2003
				First Named Inventor	David M. VAN WIE et al.
				Art Unit	2131
				Examiner Name	Not Yet Assigned
				Attorney Docket Number	07451.0002-04000

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		US-6,044,205	3/28/2000	Reed et al.	
		US-6,085,238	7/4/2000	Yuasa et al.	
		US-6,393,484	5/21/2002	Massarani	
		US-6,477,559	11/5/2002	Veluvali et al.	
		US-6,519,615	2/11/2003	Wollrath et al.	
		US-6,785,815	8/31/2004	Serret-Avila et al.	
		US-6,807,534	10/19/2004	Erickson	
		US-6,832,316	12/14/2004	Sibert	
		US-6,948,070	9/20/2005	Ginter et al.	
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		US-6,973,499	12/6/2005	Peden et al.	
		US-7,050,586	5/23/2006	Shamoon	
		US-7,051,212	5/23/2006	Ginter et al.	
		US-7,058,805	6/6/2006	Sibert	
		US-7,062,500	6/13/2006	Hall et al.	
		US-7,069,451	6/27/2006	Ginter et al.	
		US-7,076,652	7/11/2006	Ginter et al.	
		US-7,085,839	8/1/2006	Baugher et al.	
		US-7,092,914	8/15/2006	Shear et al.	
		US-7,095,854	8/22/2006	Ginter et al.	
		US-7,100,199	8/29/2006	Ginter et al.	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials	Cite No.	Foreign Patent Document Country Code Number Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation
		EP 0 727 727 A2	2/16/1996	Xerox Corporation		
		JP 01-248891 A	10/4/1989	Kondeishiyonaru Akusesu		

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IDS Form PTO/SB/08: Substitute for form 1449A/PTO				<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(Use as many sheets as necessary)</i>				<b>Application Number</b>	10/618,427
				<b>Filing Date</b>	July 10, 2003
				<b>First Named Inventor</b>	David M. VAN WIE et al.
				<b>Art Unit</b>	2131
				<b>Examiner Name</b>	Not Yet Assigned
<b>Sheet</b>	4	of	5	<b>Attorney Docket Number</b>	07451.0002-04000

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Examiner Initials	Cite No.	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation
		Country Code Number Kind Code (if known)				
		JP 01-296363 A	11/29/1989	Sanyo Electric Co.		
		JP 01-068853 A	3/14/1989	Fujitsu Ltd.		
		JP 04-117548 A	4/17/1992	Fujitsu Ltd.		
		JP 04-504794	8/20/1992	Softel, Inc.		
		JP 05-020359 A2	1/29/1993	Nippon Telegraph & Telephone		
		JP 05-173892 A	7/13/1993	NEC Corp.		
		JP 05-258463 A	10/8/1993	Sony Corp.		
		JP 06-152585 A	5/31/1994	Sony Corp.		
		JP 06-161719 A	6/10/1994	NEC Corp.		
		JP 06-250924	9/9/1994	IBM Corp.		
		JP 06-501120	1/27/1994	Tau Systems Corp.		
		JP 07-319681-A	12/8/1995	NEC Corp		
		JP 61-121145-A	6/9/1986	Matsushita Electric Ind Co Ltd		
		JP 63-129564-A	6/1/1988	Fujitsu Ltd		
		JP 63-289646-A	11/28/1988	NEC Corp.		
		WO 00/75925	12/14/2000	Serret-Avila		
		WO 01/06374	1/25/2001	Maheshwari et al.		
		WO 01/09702	2/8/2001	Strohwig et al.		
		WO 01/10076	2/8/2001	Sibert		
		WO 92/20022 A1	11/12/1992	Wyman		
		WO 96/17467	6/6/1996	Frederick Herz et al.		
		WO 97/22074	6/19/1997	Cybergold, Inc.		

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Translation
		E-mail from Caglar Gunyakti entitled: "Private Test Needed," April 28, 2001, 1 page.	

Examiner Signature	Date Considered
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STATEMENT BY APPLICANT**

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Sheet	5	of	5
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**NON PATENT LITERATURE DOCUMENTS**

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		Garrett, et al., "Toward an Electronic Copyright Management System," J. of the Amer. Soc. For Info., 44(8):468-473, 1993.	
		Identification Cards-Financial Transaction Cards, ISO 7813, 1987.	
		IISP Break Out Session Report for Group Number 3, Standards Development and Tracking System, (undated), 13 pages.	
		Information Society Facing a Turning Point, Information Flood, How to Stand Against Flood of Copies, Nikkei Byte, 92:316-319, 1991.	
		KeyKOS Principles of Operation, Key Logic document KL002-04, 1985, Fourth Edition, Jan. 1987.	
		Kozuka, et al., "Electronic Magazine Editing Software for 3DO," National Technical Report, Matsushita Electric Industrial Co., Ltd., 40(6):88-97, 1994.	
		Scherwin, Rich, Pay-per-view Web content February 1997; PC Computing, vol. 10, no. 2, page 288(1) Dialog copy, 1 page.	
		Seki, et al., "A Proposal for New Software Distribution System Using a Secret Code," Research Report of Information Processing Societies, 93(64):19-28, 1993.	
		Terada, Minoru, "Exhausting Software," bit, Kyroitsu Shuppan Co., Ltd., 26(10):12-18, 1994.	
		Torii, et al., "System Architecture for Super Distribution," Technical Research Report of Institute of Electronics, Information and Communication engineers, 94(240):59-66, 1994.	
		Ueki, et al., "Accounting Processing in Right Management Mechanism for Super Distribution," Study Report of Information Processing Societies, 90(1):1-10, 1990.	

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